

NON - INDIVIDUAL CLIENT REGISTRATION FORM

CLIENT CODE:	<input type="checkbox"/> CM	<input type="checkbox"/> DERIVATIVES	<input type="checkbox"/> CURRENCY	<input type="checkbox"/>	<input type="checkbox"/>

Client Name _____

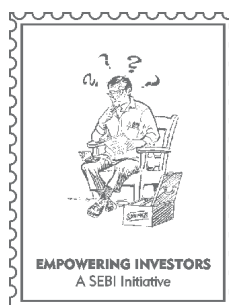


BANHEM SECURITIES PRIVATE LIMITED


Member: The National Stock Exchange of India Ltd

SEBI Registration No. For Cash Segment : INB230653634
SEBI Registration No. For Derivative Segment : INF230653634

Registered Office:
Veena Chambers, 21 Dalal Street, Mumbai 400 001
Tel: +91 22 2262 2200, +91 22 4022 0999 Fax: +91 22 2261 2493
E-mail: info@banhem.in For Investor Compliance: reachus@banhem.in • www.banhem.in



Checklist for Non-Individual Client Registration Form

Client has to sign at all places marked 

- Please fill up the form in BLOCK Letters.
- Please write the name of Client as mentioned on the PAN Card.
- Please countersign any overwriting.
- If any information required to be given, does not fit in the form, an Annexure may be used.
- Information tendered herein shall be kept confidential unless required by the Exchange, SEBI or any statutory body or authority or except with the express permission of client.
- Original documents of the photocopies will be required for verification at the time of submission of the application.

Documentary requirements for Non-Individual Clients-Mandatory

(Self certified copies of the following documents are required after due verification with the originals)

Type of entity	Exchange/SEBI/Trading Members Requirements	PMLA / FIU Requirements
In all cases	<ul style="list-style-type: none">• Copies of the Balance sheet for last two Financial Years (copies of annual balance sheet to be submitted every year)• Copy of a cancelled cheque containing preprinted name of the client and IFSC code. (In case the cancelled cheque does not contain the name of the client and/or IFSC code, extra documentary proof from the Bank for the same)• Demat Master issued by the DP bearing DP Stamp/ Recent holding statement containing the account no. and the name of the client.	
Proprietorship Firm	<ul style="list-style-type: none">• Photocopy of PAN Card of Proprietor• Declaration on the letterhead of the firm (As per draft enclosed)• Letter from the Bank stating that Bank account maintained is proprietary and the proprietor is the authorized person (incl. it's firm's address, Bank account number, etc)	
Association Of Person / Body of Individual	<ul style="list-style-type: none">• List of Managing Body duly certified by office bearer/ CA	<p>Three Certified Copies Of</p> <p>Resolution of the managing body of such association or Body of Individual Power of attorney granted to him to transact on it's behalf.</p> <p>An officially valid document in respect of the person holding an attorney to transact on it's behalf.</p> <p>Such information as may be required by the Banking or the Financial Institution or the intermediary to collectively establish the legal existence of such an association or body of individuals.</p>

Type of entity	Exchange/SEBI/Trading Members Requirements	PMLA / FIU Requirements
Partnership Firm	<ul style="list-style-type: none"> • Certified true copy of Partnership Deed • Photocopy of PAN Card (of the Partnership firm) • Copy of the latest Income Tax Return filed of the firm. • Authority letter by all the partners in favour of the managing /authorized person. (As per draft enclosed) • Copy of latest profit sharing ratio of all those holding more than 5% share in the profits duly certified by the partner(s) (Copy of updated profit sharing ratio to be submitted every year) • Photocopy of proof of address and PAN Card of Managing Partner / Authorised person • Bank proof along with a Passport size photographs of all the partners. • Affix Firm's rubber stamp on appropriate place(not required on the photo attestation) 	Three Certified Copies Of <ul style="list-style-type: none"> • Registration Certificate • Partnership Deed • An officially valid document in respect of the person holding an attorney to transact on it's behalf.
Trusts	<ul style="list-style-type: none"> • List of Trustees certified by Managing Trustee / CA 	Three Certified Copies Of <ul style="list-style-type: none"> • Registration Certificate • Trust Deed • An officially valid document in respect of the person holding an attorney to transact on it's behalf.
HUF	<ul style="list-style-type: none"> • Proof of Identity and Address of Karta • Signature of Karta and all the co-parceners on the declaration (As per draft enclosed) • Photocopy of PAN card (of HUF) • Affix HUF rubber stamp on appropriate place (not required on the photo attestation) 	
Bodies Corporate	<ul style="list-style-type: none"> • Photocopy of PAN card (of the company) • Copy of the Memorandum (along with the certificate of incorporation) and Articles of Association of the Company • List of Directors on the letterhead of the Company signed by authorized official. • Latest shareholding pattern (including list of person /entity holding more than 5% in the capital of the Company) duly certified by the Company (and every year, updated / latest copies of the same would have to be submitted) • Certified true copy of resolution passed by the Board of Directors for naming the authorized person(s) / official(s) to sign various agreements / documents. (As per draft enclosed) • Photocopy of proof of Identity (photo & address) of the authorized person(s) / Official(s) alongwith photocopy of his /her / their Pan Card and passport size photograph. 	Three Certified Copies Of <ul style="list-style-type: none"> • Certificate of incorporation • Memorandum and Articles of Association • A Resolution from the Board of Directors and Power of Attorney granted to it's Managers, officers or employees to transact on it's behalf.

**DECLARATION BY FIRM (SOLE PROP.)
ON LETTERHEAD OF THE FIRM
(NOT FOR INDIVIDUAL)**

From _____

Date: _____

To,

BANHEM SECURITIES PRIVATE LIMITED

Veena Chambers, 21, Dalal Street, Fort, Mumbai 400001.

Dear Sir,

I refer to the trading account opened with you in the name of _____
and declare and authorize you as under.

I recognize that a beneficiary account cannot be opened with a depository participant in the name of a Sole Proprietorship firm as per Regulations. To facilitate the operation of the above trading account with you and for the purpose of completing the share transfer obligations pursuant to the trading operations, I authorize you to recognize the Beneficiary Account

No. _____ with depository _____
opened in the name of the undersigned who is the sole proprietor of the firm.

I agree that the obligation for shares purchased and or sold by the firm will be handled and completed through transfer to / from the above mentioned account. I recognize and accept transfer made by you to the beneficiary account as complete discharge of obligations by you in respect of trades executed in the above trading account of the firm.

Further I the undersigned am the sole proprietor of the firm and am responsible for the liabilities thereof. I shall advise you in writing of any change that takes place in the constitution of the firm and I will be personally liable to you for all the obligations that the firm may incur in the course of dealing with you and undertake to personally discharge such liabilities.

Yours truly,

 Client's Signature

XXX (Please sign with stamp of the firm)

Format of Declaration by the Proprietors Firm

(To be obtained on pre-printed letterhead of the firm)

Date _____

To,

BANHEM SECURITIES PRIVATE LIMITED

Veena Chambers, 21, Dalal Street, Fort, Mumbai 400001.

Sir,

I refer to the trading account opened with you in the name of _____
And declare and authorize you as under.

I recognise that a beneficiary account cannot be opened with a depository participant in the name of a sole proprietorship firm as per regulations. To facilitate the operation at the above trading account with you and for the purpose of completing the share transfer obligations pursuant to the trading account with you and for the purpose of completing the share transfer obligations

pursuant to the trading operations. I authorize you to recognize the beneficiary account no _____

with DP ID _____ opened in the name of the undersigned that is the sole proprietor of the firm.

I agree that the obligation or shares purchased and / or sold by the firm will be handled through transfer(s) to/from the abovementioned account. I recognize and accept transfer made by you to the beneficiary account as completion of obligations by you in respect of trades executed in the above trading account of the firm.

Further I, the undersigned, am the sole proprietor of the firm and am solely responsible for the liabilities thereof. I shall advise you in writing of any change that takes place in the constitution of the firm and I will be personally liable to you for all the obligation that the firm may incur in the course of dealing with you undertake to personally discharge such liabilities.

The cheques/ DDs (electronic / physical) may be issued by me from individual account or my joint account at my sole

proprietorship firm M/s. _____

With Banhem Securities Private Limited. I shall not lay any claim whatsoever in future against Banhem Securities Private Limited. For affording credit of such cheques / DDs issued from any individual/joint account credit of which has been provided by Banhem Securities Private Limited. To the account of my

proprietorship firm M/s. _____

Thanking You,

Yours faithfully,



Signature of the Proprietor along with Stamp:

Name of the Proprietor _____

Format of Authority Letter by the Partnership Firm

(To be obtained on the firm's letterhead)

Date _____

To,

BANHEM SECURITIES PRIVATE LIMITED

Veena Chambers, 21, Dalal Street, Fort, Mumbai 400001.

Dear Sir,

We, the undersigned partners of _____

carrying on business at _____
desire to open trading account with you for the purpose of buying and selling of securities and/or other investment product (s)
or the Services through you.

As our broker for securities trades funds, investments, etc., and undertake that all the acts of the said partner in this connection purporting to be done behalf of the firm shall be binding on the firm and each one of us and respective estate until liabilities if any, occurred in respect of such acts have been discharged.

Thanking You,

Yours faithfully,

Sr. No	Full Name of the Partners	Signature
1		
2		
3		
4		
5		
6		

Declaration by the Karta (in case of HUF)

Date _____

To,

BANHEM SECURITIES PRIVATE LIMITED

Veena Chambers, 21, Dalal Street, Fort, Mumbai 400001

Dear Sir,

I, the undersigned and the Karta of _____ (HUF),
disclose following details of our HUF and all its coparceners;

Sr. No	Name	Date of Birth	Relationship	Signature
1				
2				
3				
4				
5				
6				

I hereby, state that details mentioned as above are true and any change / update in them would be intimated to you in writing.

Thanking you

Your's faithfully,



Signature of the Karta along with stamp

Name of the Karta: _____

Format of Board Resolution in case of the Corporate
(to be obtained on pre-printed corporate letterhead)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTORS OF

M/s _____

HAVING ITS REGISTERED OFFICE AT _____

HELD ON _____ DAY _____ OF 20 ____ AT _____.

RESOLVED THAT the company do agree with Banhem Securities Private Limited Member of The National Stock Exchange of India Limited (NSE). For other purpose of dealing on Capital Market/Cash segment/Derivative segment or any other segment that may be introduced by NSE, in future and the said Member be and is hereby Authorised to honor instructions, oral / written or electronic, given on behalf of the company by any of the under noted Authorised signatories :

Sr. No	Name	Designation	Signature
1			
2			
3			
4			
5			
6			

Who is / are Authorised to sell, purchase, endorse, negotiate documents and/or otherwise deal through Banhem Securities Private Limited, on behalf of the Company.

RESOLVED FURTHER THAT Mr./Ms. _____ Director,

and/or Mr./Ms. _____

Authorised Signatory of the company be and other requisite documents, writing and deeds as may be deemed necessary or expedient to open account and give effect to this resolution.

RESOLVED FURTHER THAT. The common seal of the company be affixed, wherever necessary, in the presence of any directors or Company Secretary, who shall sign the same in token of the presence.

For _____
Chairman/Company/Secretary (Signature to be verified by the Banker)